

CAPRA 2023-24 Annual Report

Committee's charge:

The Committee shall review budgetary procedures and priorities for planning and resource allocation in the Presidential, Provostial, and Vice-Presidential areas. It shall be consulted by the University Administration on these matters and on proposals for new colleges, schools, and inter-collegiate programs, or the elimination, diminution, or combinations of colleges, schools, or other academic units, and shall seek advice from other University Senate committees whenever appropriate.

Committee's composition:

Humanities Fine Arts: Johnathan Sanders, Gary Mar
Social and Behavioral Sci.: Thomas Graf, Brenda Anderson
Natural Sciences: Chris Johnson and Tim Glotch
CAES: Alan Tucker, Miriam Rafailovich
HSC: Sylvia Wood, Alfredo Fontanini (chair)
Professionals: Alyceson Andrews, Erika Hackley
Library: Gisele Schierhorst

For additional information on CAPRA see:

<https://www.stonybrook.edu/commcms/univ-senate/senate/committees/capra.php>

Summary of activities:

CAPRA has been partnering with the University administration on a series of projects, including monthly meetings with Provost Lejuez to identify areas of shared efforts. Two primary areas were:

a) Developing a general framework for revising guidelines for centers and institutes with particular focus on the participation in decision-making by center faculty and by deans and chairs of departments with members in centers. The Provost and CAPRA will promote the creation of a joint Provost-CAPRA revision committee that will include Center directors and chairs as well as members of CAPRA, the Provost's Office and other administrative offices. The committee membership may not be finalized until September 2024.

b) Improving the Authorization To Recruit (ATR) process. The goal of this effort was to accelerate the process for authorizing hires of new faculty. After consulting with the Provost, CAPRA polled Department Chairs on desired timelines. The results of the survey were presented to the Provost who agreed to authorized hires in two-tranches, the earliest of which was June. This new process has been put in place for the ATR cycle of 23-24.

We were invited by the Senior VP for Finance and Administration Jed Shivers and by Provost Lejuez to join the Economic Framework Steering Committee. This committee is charged with designing a new University Budget Model (in collaboration with consultants from Huron Consulting Group). CAPRA chair represented the committee in all the meetings as well as in capacity as "Budget Model Liaison". To promote transparency and shared governance we organized two meetings in which VP Shivers and Provost Lejuez presented the budget model to Chairs, Center and Institute Directors and Dean's Offices and addressed questions.

The current budget model is historical and incremental and all funds flow centrally and managed by the President's office. Allocation of academic resources (as well as expenses) relies on this centralized process. The new planning model favored is an incentive-based model:

- Decentralizing the budget; funds would no longer go to the central administration to allocate
 - Funds would flow directly to each unit (College/School)
 - The units would be allocated operating funds and pay costs for administrative support units and other shared expenses
 - Sources of funds would include tuition, state funds, and research funds
 - A portion of funds would go back to the Provost's Office for non-funding generating units (e.g., academic advising)
 - A tax on resources to units would go to strategic funding and subvention; investments should pay for themselves
 - Savings would stay within the units and could be reallocated based on unit priorities
 - Tuition revenue coming to departments would be based on teaching/credit hours and/or based on major headcount
 - Proposed model is based on rewarding entrepreneurial efforts

Finally, CAPRA was given an update on facilities and infrastructure by William Hermann, VP for Facilities and Services. Highlights were:

- Stony Brook has a disproportionate share of old facilities in SUNY needing *critical maintenance*. Stony Brook gets 18% of such SUNY funding. Maintenance funds are distributed among SUNY campuses according to: Academic relative %, Age of buildings, FTE/Headcount of students.
 - Stony Brook has aggressively increased the number of funding proposals for SUNY Construction Fund lump sum grants. In the last few years, SBU has been getting around \$100M a year in new maintenance funds from this source.
 - Facilities is working on assisting departments in maintenance/infrastructure-upgrade projects, e.g., for a new professor's lab. Potential funding mechanism for addressing capital renovations are being explored.
 - A campus 10-year Facilities Master Plan is under development. CAPRA will have input.